



SANTA CLARA VALLEY WATER DISTRICT

NON-AGENDA

November 27, 2020

Board Policy EL-7 Communication and Support to the Board
The BAOs shall inform and support the Board in its work.

Page		<u>CEO BULLETIN & NEWSLETTERS</u>
		CEO Bulletin: None
		<u>BOARD MEMBER REQUESTS & INFORMATIONAL ITEMS</u>
4		BMR/IBMR Weekly Reports: 11/24/20
5		Memo from Darin Taylor, CFO, to the Board of Directors, dated 11/20/20, regarding Summary of Monthly Report of Investments, October 2020.
21		Memo from Rick Callender, CEO, to the Board of Directors, dated 11/20/20, regarding Fiscal Year 2020-2021 (FY 21) Quarter 1 (Q1) Quarterly Ends Policy Outcome Measure Status Report.
		<u>INCOMING BOARD CORRESPONDENCE</u>
		Board Correspondence Weekly Report:
		None.
		<u>OUTGOING BOARD CORRESPONDENCE</u>
		None.

Board correspondence has been removed from the online posting of the Non-Agenda to protect personal contact information. Lengthy reports/attachments may also be removed due to file size limitations. Copies of board correspondence and/or reports/attachments are available by submitting a public records request to publicrecords@valleywater.org.

CEO BULLETIN

BOARD MEMBER REQUESTS and Informational Items

Report Name: Board Member Requests

Request	Request Date	Director	BAO/Chief	Staff	Description	20 Days Due Date	Expected Completion Date	Disposition
I-20-0014	08/20/20	Kremen Santos	Yoke	Gordon	Director Kremen requested staff to take a look at the potential of hiring private fire fighting organization to protect the Penitenia WTP. In addition, Director Santos requested information on whether any consideration has been given to installing fire suppression sprinklers on the perimeter or other effective location on WTPs. Copies of both email requests are attached below.	09/10/20		
I-20-0017	11/20/20	Varela	Richardson Baker	Gin Kao	Director Varela requested that staff provide him with a copy of Congressman John Garamendi's 03/20/20 presentation on the Delta Conveyance Project, titled Summary of the Preliminary Assessment of a Western Corridor.	12/13/20		
R-20-0013	11/10/20	Santos	King	Meredith	At the 11/10/20 Board meeting, Director Santos requested that staff come back with an item for Board discussion of its Governance Process concerning election of Board Chair and Board Vice Chair.	11/30/20		11/24/20 Board Agenda: Item agendized on 11/24/20, Item No. 4.2, Legistar No. 20-1070. Copy of agenda item below.

TO: Board of Directors**FROM:** Darin Taylor,
Chief Financial Officer**SUBJECT:** Summary of Monthly Report of Investments,
October 2020**DATE:** November 20, 2020

In accordance with the Santa Clara Valley Water District Board (Valley Water) Investment policy, staff provides a detailed investment report to the Board of Directors on a quarterly basis. In addition to the quarterly investment report, Government Code section 53607 requires the Treasurer of the local agency who has been delegated with the authority to invest funds to provide a monthly report of the investment transactions to the legislative body. This report is provided to the Board in compliance with Government Code section 53607 and Executive Limitation-4 Financial Management.

Attached is the report of investments for the month of October 2020. All investments were in compliance with the Investment Policy and performed on par with Valley Water's benchmark yield. The attached report includes a summary of the portfolio holdings, details of each security in the portfolio and an investment activity summary with comparisons to the previous 12 months. The following are highlights of the investment activities for the month:

- The book value of Valley Water's portfolio as of October 31, 2020, was \$652 million.
- Valley Water's portfolio is invested with a primary goal of safety. To accomplish this goal, we only invest in securities that are permitted by California Government Code and the Board Investment Policy. These securities have high credit ratings and maturities of no more than 5 years. As of October 31, 2020, approximately 64% of the portfolio was held in US Government Treasury/Agency/Municipal Bonds, 32% was held in liquid funds (Local Area Investment Fund, money market mutual fund, and short-term deposits), and 4% in supranational/corporate medium-term notes.
- The portfolio had a yield-to-maturity of 1.38% for the month of October 31, 2020. This is 0.02% below Valley Water's benchmark, the 24-month floating average of the 2-year Treasury note, which was 1.40 %. The portfolio yield is expected to continue to decline due to the current strategy to hold more cash as well as the overall lower interest rate environment, due to the ongoing COVID19 pandemic.
- Valley Water's portfolio is reviewed every month for compliance with the Investment Policy. All investments were in compliance for the reporting period.
- California Government Code requires that a public entity structure its portfolio so that it, at minimum, can meet expenditures for the next six months. The investment portfolio has been structured to ensure that sufficient monies will be available to cover anticipated expenditures in the coming six months.

If you have any questions regarding the Investment Report, please contact Darin Taylor, Chief Financial Officer, at 408-630-3068.



Darin Taylor
Chief Financial Officer

Attachment: Monthly Report of Investments for October 2020



**Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Summary
October 31, 2020**

SANTA CLARA VALLEY WATER
5750 Almaden Expressway
San Jose, San Jose, Ca 951
(408)265-2607

Investments	Par Value	Market Value	Book Value	% of Portfolio	YTM 365 Equiv.	Term	Days to Maturity
Treasury Securities - Coupon	20,000,000.00	20,454,100.00	20,030,723.10	3.07	2.094	1,044	458
Federal Agency Issues - Coupon	328,540,000.00	337,158,775.34	330,451,520.72	50.65	1.628	1,356	879
Negotiable CD's - Interest Bearing	480,000.00	501,378.24	480,000.00	0.07	2.173	1,645	1,116
LAIF	74,984,369.88	74,984,369.88	74,984,369.88	11.49	0.840	1	1
Medium Term Notes	15,003,000.00	15,481,794.23	14,862,760.92	2.28	2.772	1,410	632
Money Market Account	50,040,427.27	50,040,427.27	50,040,427.27	7.67	0.001	1	1
TimeCD_Deposit Account	83,570,603.75	83,570,603.75	83,570,603.75	12.81	0.455	1	1
Supranational	10,000,000.00	10,288,667.75	9,986,673.93	1.53	2.704	1,267	396
Municipal Bonds	67,841,427.09	70,091,081.67	68,027,043.52	10.43	2.181	1,423	854
Investments	650,459,827.99	662,541,198.13	652,434,123.09	100.00%	1.377	920	570

Total Earnings	October 31 Month Ending	Fiscal Year To Date
Current Year	740,788.13	3,153,496.68
Average Daily Balance	653,285,111.58	668,296,860.42
Effective Rate of Return	1.34%	1.40%

Current market pricing is updated at the end of each month from data provided by Interactive Data, a securities pricing service.


 Charlene Sun
 Treasury Debt Officer
 Date: 11/19/2020


 Darin Taylor, Chief Financial Officer
 Date: 11/20/2020

Reporting period 10/01/2020-10/31/2020

Run Date 11/10/2020 - 15:15

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**Santa Clara Valley Water Dist.
Portfolio Management
Activity Summary
October 2019 through October 2020**

Month End	Year	Number of Securities	Total Invested	Yield to Maturity		Managed Pool Rate	Number of Investments Purchased	Number of Investments Redeemed	Average Term	Average Days to Maturity
				360 Equivalent	365 Equivalent					
October	2019	201	626,726,602.80	2.103	2.132	2.450	8	7	998	597
November	2019	206	613,830,410.52	2.111	2.140	2.450	12	10	1,008	613
December	2019	203	619,009,294.81	2.060	2.089	2.450	7	10	976	596
January	2020	196	637,710,322.78	2.008	2.036	2.290	3	4	934	555
February	2020	197	630,892,575.72	1.992	2.020	2.290	5	7	971	589
March	2020	193	604,439,911.24	1.987	2.015	2.290	3	15	957	594
April	2020	178	653,703,881.14	1.741	1.765	2.030	0	6	855	524
May	2020	172	632,214,648.66	1.647	1.670	2.030	0	12	827	511
June	2020	171	699,817,771.89	1.438	1.458	2.030	9	5	767	483
July	2020	166	663,578,832.69	1.431	1.451	1.470	1	3	800	494
August	2020	170	662,003,112.78	1.413	1.432	1.470	7	5	852	534
September	2020	165	660,781,931.46	1.393	1.412	1.470	0	3	844	512
October	2020	173	652,434,123.09	1.358	1.377	0.840	11	1	920	570
Average		184	642,857,186.12	1.745%	1.769%	1.966	5	7	901	552

Santa Clara Valley Water Dist.
Portfolio Management
Activity By Type
October 1, 2020 through October 31, 2020

CUSIP	Investment #	Issuer	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Balance
Treasury Securities - Coupon							
Subtotal							20,030,723.10
Federal Agency Issues - Coupon							
3134GWUY0	5476	Federal Home Loan Mortgage Cor	0.400	10/19/2020	6,698,000.00	0.00	
3134GW3W4	5477	Federal Home Loan Mortgage Cor	0.410	10/28/2020	5,000,000.00	0.00	
3134GW5Q5	5478	Federal Home Loan Mortgage Cor	0.450	10/29/2020	5,000,000.00	0.00	
3137EAEX3	5479	Fed Home Loan Mort Corp-CN	0.375	10/21/2020	4,979,335.00	0.00	
Subtotal					21,677,335.00	0.00	330,451,520.72
Negotiable CD's - Interest Bearing							
Subtotal							480,000.00
LAIF (Monthly Summary)							
SYS88-0237LAIF	88-0237LAIF	Local Agency Investment Fund	0.840		0.00	100,000.00	
Subtotal					0.00	100,000.00	74,984,369.88
Medium Term Notes							
594918AH7	5075	Microsoft Corp	3.000	10/01/2020	0.00	3,000,000.00	
Subtotal					0.00	3,000,000.00	14,862,760.92
Money Market Account (Monthly Summary)							
23380W523	4102	Daily Income US Gov MMF	0.001		60,190,496.83	49,974,046.93	
Subtotal					60,190,496.83	49,974,046.93	50,040,427.27
TimeCD_Deposit Account (Monthly Summary)							
SYS5317	5317	Excite CU FKA Alliance CU	2.470		513.08	10,317.25	
SYS5311	5311	Bank of San Francisco	2.250		1,429.37	9,750.62	
SYS5481	5481	Bank of San Francisco	0.449		14,000,516.47	0.00	
SYS5309	5309	Community Bank of the Bay	2.701		561.55	3,334.48	
SYS5371	5371	Community Bank of the Bay	0.500		5,437.67	0.00	
SYS4912	4912	Deposit Account	0.050		3,711.19	59,000,115.00	
SYS5395	5395	Meriwest Credit Union	0.500		238.49	0.00	
SYS5398	5398	Meriwest Credit Union	2.500		10,792.05	0.00	
SYS5397	5397	Provident Credit Union	2.579		541.97	0.00	
SYS5369	5369	Technology Credit Union	0.740		9,616.31	0.00	
Subtotal					14,033,358.15	59,023,517.35	83,570,603.75

Santa Clara Valley Water Dist.
Portfolio Management
Activity By Type
October 1, 2020 through October 31, 2020

CUSIP	Investment #	Issuer	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Balance
Supranational							
Subtotal							9,986,673.93
Municipal Bonds							
368079KB4	5471	Gavilan Joint Community CLG-A1	0.524	10/15/2020	750,000.00	0.00	
368079KC2	5472	Gavilan Joint Community CLG-A1	0.739	10/15/2020	600,000.00	0.00	
530319SK9	5468	Liberty Union HSD	0.650	10/07/2020	800,000.00	0.00	
530319SL7	5469	Liberty Union HSD	0.760	10/07/2020	825,000.00	0.00	
677765GW3	5480	Ohlone Community College	1.975	10/22/2020	3,036,212.40	0.00	
799408Z85	5475	SAN RAMON VALLEY UNIFIED	0.740	10/20/2020	1,700,000.00	0.00	
Subtotal						7,711,212.40	68,027,043.52
Total					103,612,402.38	112,097,564.28	652,434,123.09

Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Investments
October 31, 2020

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity	Maturity Date
Treasury Securities - Coupon												
912828Q37	5206	US Treasury Bill		05/10/2018	5,000,000.00	5,022,265.00	4,972,645.15	1.250	2.642	1,056	150	03/31/2021
9128284P2	5277	US Treasury Bill		09/21/2018	3,000,000.00	3,040,314.00	2,996,218.98	2.625	2.871	967	195	05/15/2021
912828VB3	5384	US Treasury Bill		06/18/2019	3,000,000.00	3,119,064.00	2,994,807.81	1.750	1.821	1,427	925	05/15/2023
9128286U9	5404	US Treasury Bill		09/27/2019	3,000,000.00	3,090,819.00	3,023,354.58	2.125	1.604	961	560	05/15/2022
9128286U9	5405	US Treasury Bill		09/27/2019	3,000,000.00	3,090,819.00	3,022,414.80	2.125	1.625	961	560	05/15/2022
9128286U9	5430	US Treasury Bill		12/12/2019	3,000,000.00	3,090,819.00	3,021,281.78	2.125	1.651	885	560	05/15/2022
Subtotal and Average			20,029,408.59		20,000,000.00	20,454,100.00	20,030,723.10		2.094	1,044	458	
Federal Agency Issues - Coupon												
3133EG5D3	5086	Federal Farm Credit Bank		01/27/2017	3,000,000.00	3,068,659.95	3,000,000.00	2.030	2.030	1,826	452	01/27/2022
3133EJAD1	5184	Federal Farm Credit Bank		01/23/2018	5,000,000.00	5,014,525.75	4,999,869.75	2.150	2.169	1,065	52	12/23/2020
3133EJAD1	5185	Federal Farm Credit Bank		01/23/2018	5,000,000.00	5,014,525.75	4,999,975.24	2.150	2.154	1,065	52	12/23/2020
3133EJTB5	5249	Federal Farm Credit Bank		06/29/2018	4,500,000.00	4,674,535.92	4,503,351.94	2.800	2.748	1,398	542	04/27/2022
3133EJSA8	5252	Federal Farm Credit Bank		07/03/2018	5,000,000.00	5,083,132.00	5,000,861.42	2.730	2.702	1,084	232	06/21/2021
3133EJP60	5318	Federal Farm Credit Bank		01/23/2019	3,000,000.00	3,208,582.68	3,023,095.81	3.000	2.672	1,560	912	05/02/2023
3133EJW70	5327	Federal Farm Credit Bank		01/25/2019	3,000,000.00	3,046,853.76	3,004,745.96	2.875	2.586	852	206	05/26/2021
3133ED2B7	5333	Federal Farm Credit Bank		02/01/2019	1,000,000.00	1,096,580.52	1,028,337.41	3.610	2.560	1,690	1,051	09/18/2023
3133EKAK7	5339	Federal Farm Credit Bank		02/14/2019	3,000,000.00	3,090,475.92	2,999,125.44	2.530	2.554	1,096	470	02/14/2022
3133EKBV7	5348	Federal Farm Credit Bank		03/01/2019	3,000,000.00	3,094,096.80	3,001,072.19	2.550	2.522	1,096	485	03/01/2022
3133EKLA2	5372	Federal Farm Credit Bank		05/15/2019	3,000,000.00	3,158,277.18	3,007,034.94	2.300	2.203	1,461	925	05/15/2023
3133EKLA2	5375	Federal Farm Credit Bank		05/24/2019	1,805,000.00	1,900,230.10	1,811,989.91	2.300	2.140	1,452	925	05/15/2023
3133EKLQ7	5376	Federal Farm Credit Bank		06/03/2019	5,000,000.00	5,057,858.95	5,006,041.48	2.250	2.022	714	197	05/17/2021
3133EKQP4	5377	Federal Farm Credit Bank		06/12/2019	5,000,000.00	5,177,312.10	4,996,838.23	1.875	1.906	1,279	771	12/12/2022
3133EKPG5	5380	Federal Farm Credit Bank		06/10/2019	3,000,000.00	3,160,276.86	3,018,129.84	2.125	1.903	1,548	1,038	09/05/2023
3133EDBU5	5381	Federal Farm Credit Bank		06/10/2019	3,000,000.00	3,303,084.54	3,144,791.92	3.500	1.887	1,654	1,144	12/20/2023
3133EHN25	5382	Federal Farm Credit Bank		06/18/2019	3,000,000.00	3,174,596.76	3,024,923.08	2.200	1.910	1,597	1,095	11/01/2023
3133EKKU9	5383	Federal Farm Credit Bank		06/18/2019	3,000,000.00	3,184,593.54	3,034,673.92	2.300	1.899	1,604	1,102	11/08/2023
3133ECPM0	5385	Federal Farm Credit Bank		06/20/2019	4,596,000.00	4,818,148.63	4,630,861.69	2.125	1.814	1,425	925	05/15/2023
3133ECCG2	5386	Federal Farm Credit Bank		06/20/2019	5,000,000.00	5,223,489.25	5,036,989.03	2.120	1.791	1,350	850	03/01/2023
3133EKSJ7	5387	Federal Farm Credit Bank		06/26/2019	3,000,000.00	3,122,631.00	2,996,637.60	1.770	1.814	1,461	967	06/26/2023
3133EKTG1	5392	Federal Farm Credit Bank		07/01/2019	3,000,000.00	3,077,816.16	2,998,533.33	1.750	1.780	1,096	607	07/01/2022
3133EJWA3	5403	Federal Farm Credit Bank		09/27/2019	1,000,000.00	1,060,864.22	1,028,002.55	2.900	1.616	1,225	824	02/03/2023
3133ECPH2	5406	Federal Farm Credit Bank		09/27/2019	3,000,000.00	3,070,021.47	3,010,210.18	1.900	1.644	896	495	03/11/2022
3133EKPT7	5425	Federal Farm Credit Bank		11/22/2019	2,175,000.00	2,282,161.66	2,203,134.77	2.125	1.610	1,291	946	06/05/2023
3133ECPU2	5426	Federal Farm Credit Bank		12/11/2019	1,000,000.00	1,027,842.23	1,005,014.16	2.000	1.667	888	562	05/17/2022
3133ELEL4	5432	Federal Farm Credit Bank		12/19/2019	5,000,000.00	5,187,045.45	5,000,000.00	1.690	1.690	1,245	927	05/17/2023
3133ELNE0	5440	Federal Farm Credit Bank		02/27/2020	5,000,000.00	5,189,223.40	5,034,528.35	1.430	1.214	1,448	1,200	02/14/2024
3133ELQD9	5442	Federal Farm Credit Bank		03/04/2020	5,000,000.00	5,158,423.50	5,003,091.04	1.230	1.211	1,461	1,219	03/04/2024

Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Investments
October 31, 2020

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity	Maturity Date
Federal Agency Issues - Coupon												
3133ELQD9	5443	Federal Farm Credit Bank		03/04/2020	3,000,000.00	3,095,054.10	3,005,859.70	1.230	1.170	1,461	1,219	03/04/2024
3133ELQD9	5459	Federal Farm Credit Bank		08/06/2020	5,000,000.00	5,158,423.50	5,160,336.18	1.230	0.265	1,306	1,219	03/04/2024
3133EL3P7	5460	Federal Farm Credit Bank		08/12/2020	5,000,000.00	4,974,012.35	5,000,000.00	0.530	0.530	1,826	1,745	08/12/2025
3133ELQD9	5462	Federal Farm Credit Bank		08/07/2020	5,000,000.00	5,158,423.50	5,160,867.37	1.230	0.262	1,305	1,219	03/04/2024
313379RB7	5131	Federal Home Loan Bank		08/23/2017	3,000,000.00	3,032,067.18	3,003,859.65	1.875	1.657	1,388	222	06/11/2021
313382K69	5132	Federal Home Loan Bank		08/23/2017	3,000,000.00	3,017,422.38	3,001,607.03	1.750	1.598	1,297	131	03/12/2021
3130A0XD7	5210	Federal Home Loan Bank		05/17/2018	3,000,000.00	3,024,393.18	2,995,787.35	2.375	2.778	1,030	131	03/12/2021
3133732Y7	5211	Federal Home Loan Bank		05/17/2018	5,000,000.00	5,062,831.20	5,014,932.71	3.625	2.765	1,030	131	03/12/2021
3130A1B80	5237	Federal Home Loan Bank		06/19/2018	4,620,000.00	4,774,248.59	4,609,338.89	2.625	2.804	1,361	495	03/11/2022
313378CR0	5241	Federal Home Loan Bank		06/21/2018	5,000,000.00	5,141,279.30	4,965,352.61	2.250	2.789	1,359	495	03/11/2022
313378CR0	5243	Federal Home Loan Bank		06/21/2018	5,000,000.00	5,141,279.30	4,965,954.14	2.250	2.780	1,359	495	03/11/2022
3133XPKG8	5244	Federal Home Loan Bank		06/21/2018	5,000,000.00	5,533,725.00	5,208,377.28	4.750	2.848	1,723	859	03/10/2023
3130AFE78	5319	Federal Home Loan Bank		01/23/2019	3,000,000.00	3,174,320.91	3,017,869.47	3.000	2.699	1,416	768	12/09/2022
3130AFE78	5332	Federal Home Loan Bank		01/28/2019	3,000,000.00	3,174,320.91	3,018,751.10	3.000	2.684	1,411	768	12/09/2022
313383YJ4	5334	Federal Home Loan Bank		02/01/2019	2,000,000.00	2,177,274.52	2,043,580.25	3.375	2.560	1,680	1,041	09/08/2023
3130AFE78	5336	Federal Home Loan Bank		02/04/2019	3,000,000.00	3,174,320.91	3,024,726.67	3.000	2.585	1,404	768	12/09/2022
3130A3VC5	5374	Federal Home Loan Bank		05/24/2019	3,000,000.00	3,180,908.46	3,009,698.21	2.250	2.140	1,659	1,132	12/08/2023
3133834G3	5378	Federal Home Loan Bank		06/06/2019	3,000,000.00	3,148,571.07	3,013,670.23	2.125	1.942	1,464	950	06/09/2023
3130AAHE1	5390	Federal Home Loan Bank		06/24/2019	5,000,000.00	5,339,727.65	5,092,584.26	2.500	1.875	1,628	1,132	12/08/2023
3130AHJY0	5418	Federal Home Loan Bank		11/08/2019	3,000,000.00	3,047,018.70	2,997,347.28	1.625	1.711	742	383	11/19/2021
313378JP7	5421	Federal Home Loan Bank		11/21/2019	3,000,000.00	3,057,330.78	3,019,954.07	2.375	1.585	659	313	09/10/2021
3133834G3	5423	Federal Home Loan Bank		11/22/2019	3,000,000.00	3,148,571.07	3,039,224.12	2.125	1.607	1,295	950	06/09/2023
3133834G3	5424	Federal Home Loan Bank		11/22/2019	3,240,000.00	3,400,456.76	3,282,000.31	2.125	1.611	1,295	950	06/09/2023
3133834G3	5427	Federal Home Loan Bank		12/11/2019	1,000,000.00	1,049,523.69	1,011,288.81	2.125	1.677	1,276	950	06/09/2023
3130AJQP7	5453	Federal Home Loan Bank		06/30/2020	5,000,000.00	4,936,191.95	5,000,000.00	0.720	0.720	1,644	1,520	12/30/2024
3130AJRD3	5454	Federal Home Loan Bank		06/24/2020	5,000,000.00	4,936,872.00	5,000,000.00	0.620	0.620	1,461	1,331	06/24/2024
3130A3GE8	5466	Federal Home Loan Bank		08/26/2020	5,000,000.00	5,481,040.50	5,509,076.90	2.750	0.390	1,570	1,503	12/13/2024
3130A9V32	5062	Federal Home Loan Bank-CN		11/15/2016	5,000,000.00	5,096,455.25	5,000,000.00	2.000	1.715	1,826	379	11/15/2021
3130AD4X7	5183	Federal Home Loan Bank-CN		01/19/2018	5,000,000.00	5,010,233.30	4,999,034.55	2.000	2.180	1,057	40	12/11/2020
3130A7PH2	5444	Federal Home Loan Bank-CN		02/28/2020	3,000,000.00	3,156,699.27	3,068,049.83	1.875	1.180	1,470	1,223	03/08/2024
3134GUPU8	5419	Federal Home Loan Mortgage Cor		11/12/2019	3,000,000.00	3,020,705.82	2,994,941.25	1.750	1.800	1,641	1,286	05/10/2024
3134GBHM7	5422	Federal Home Loan Mortgage Cor		11/22/2019	2,000,000.00	2,072,782.56	2,029,427.93	2.625	1.613	887	542	04/27/2022
3134GU6D7	5436	Federal Home Loan Mortgage Cor		01/28/2020	2,500,000.00	2,505,927.10	2,500,000.00	1.650	1.650	1,277	999	07/28/2023
3134GVAT5	5439	Federal Home Loan Mortgage Cor		02/12/2020	4,710,000.00	4,723,154.56	4,710,000.00	1.800	1.800	1,827	1,564	02/12/2025
3134GVL63	5449	Federal Home Loan Mortgage Cor		06/09/2020	5,000,000.00	5,002,748.00	5,000,000.00	0.420	0.420	913	768	12/09/2022
3134GVD88	5451	Federal Home Loan Mortgage Cor		06/16/2020	5,000,000.00	5,000,469.60	4,998,603.76	0.500	0.515	1,266	1,128	12/04/2023
3134GVW95	5452	Federal Home Loan Mortgage Cor		06/24/2020	5,000,000.00	4,998,551.85	5,000,000.00	0.550	0.550	1,461	1,331	06/24/2024
3134GVK98	5455	Federal Home Loan Mortgage Cor		06/25/2020	5,000,000.00	5,001,301.55	5,006,654.33	0.700	0.671	1,446	1,317	06/10/2024

Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Investments
October 31, 2020

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity	Maturity Date
Federal Agency Issues - Coupon												
3134GWND4	5461	Federal Home Loan Mortgage Cor		08/12/2020	5,000,000.00	4,967,110.85	5,000,000.00	0.600	0.600	1,826	1,745	08/12/2025
3134GWMX1	5464	Federal Home Loan Mortgage Cor		08/24/2020	5,000,000.00	4,977,271.20	5,000,000.00	0.350	0.350	1,369	1,300	05/24/2024
3134GWUY0	5476	Federal Home Loan Mortgage Cor		10/19/2020	6,698,000.00	6,674,047.55	6,699,414.02	0.400	0.400	1,533	1,520	12/30/2024
3134GW3W4	5477	Federal Home Loan Mortgage Cor		10/28/2020	5,000,000.00	4,993,551.55	5,000,000.00	0.410	0.410	1,461	1,457	10/28/2024
3134GW5Q5	5478	Federal Home Loan Mortgage Cor		10/29/2020	5,000,000.00	4,991,818.80	5,000,000.00	0.450	0.450	1,553	1,550	01/29/2025
3137EAEX3	5479	Fed Home Loan Mort Corp-CN		10/21/2020	5,000,000.00	4,964,303.05	4,980,805.79	0.375	0.460	1,798	1,787	09/23/2025
3135G0U27	5276	Fed Natl Mortgage Assoc		09/21/2018	5,000,000.00	5,052,739.20	4,991,377.28	2.500	2.900	935	163	04/13/2021
3135G0T45	5299	Fed Natl Mortgage Assoc		12/18/2018	3,000,000.00	3,073,091.07	2,965,054.93	1.875	2.733	1,204	520	04/05/2022
3135G0U35	5312	Fed Natl Mortgage Assoc		12/24/2018	3,000,000.00	3,049,924.47	3,001,736.36	2.750	2.656	911	233	06/22/2021
3136G02F7	5326	Fed Natl Mortgage Assoc		01/25/2019	2,173,000.00	2,189,382.59	2,162,128.50	1.600	2.603	833	187	05/07/2021
3135G0T45	5391	Fed Natl Mortgage Assoc		06/24/2019	5,000,000.00	5,121,818.45	5,004,756.53	1.875	1.806	1,016	520	04/05/2022
3136G03S8	5420	Fed Natl Mortgage Assoc		11/21/2019	1,000,000.00	1,008,046.65	1,000,295.10	1.650	1.594	540	194	05/14/2021
3135G0V34	5429	Fed Natl Mortgage Assoc		12/11/2019	5,000,000.00	5,362,639.70	5,125,375.97	2.500	1.700	1,517	1,191	02/05/2024
3136G4XE8	5457	Fed Natl Mortgage Assoc		06/22/2020	5,000,000.00	5,000,601.00	5,000,000.00	0.520	0.520	1,278	1,146	12/22/2023
3136G4D26	5458	Fed Natl Mortgage Assoc		07/28/2020	5,000,000.00	4,989,839.50	5,000,000.00	0.400	0.400	1,095	999	07/28/2023
3136G4T52	5465	Fed Natl Mortgage Assoc		08/26/2020	4,050,000.00	4,048,341.28	4,050,058.50	0.520	0.520	1,644	1,577	02/25/2025
3135G0F73	4963	FNMA Discount Notes		11/30/2015	3,000,000.00	3,003,195.03	2,999,147.84	1.500	1.871	1,827	29	11/30/2020
3136G3U94	5325	FNMA Discount Notes		01/25/2019	1,000,000.00	1,007,120.95	993,428.29	1.400	2.603	851	205	05/25/2021
3135G0U43	5341	FNMA Discount Notes		02/12/2019	3,000,000.00	3,225,548.10	3,026,468.58	2.875	2.546	1,673	1,045	09/12/2023
880591EN8	5344	Tennessee Valley Authority		02/28/2019	4,473,000.00	4,603,879.98	4,420,855.61	1.875	2.560	1,264	652	08/15/2022
Subtotal and Average			314,499,861.02		328,540,000.00	337,158,775.34	330,451,520.72		1.628	1,356	879	
Negotiable CD's - Interest Bearing												
33715LBT6	5260	First Tech Fed Credit Union		07/16/2018	240,000.00	252,938.64	240,000.00	3.250	3.253	1,463	624	07/18/2022
79772FAF3	5445	San Francisco FCU		03/27/2020	240,000.00	248,439.60	240,000.00	1.100	1.094	1,826	1,607	03/27/2025
Subtotal and Average			480,000.00		480,000.00	501,378.24	480,000.00		2.173	1,645	1,116	
LAIF												
SYS88-0237LAIF	88-0237LAIF	Local Agency Investment Fund			74,984,369.88	74,984,369.88	74,984,369.88	0.840	0.840	1	1	
Subtotal and Average			75,048,886.01		74,984,369.88	74,984,369.88	74,984,369.88		0.840	1	1	
Medium Term Notes												
037833DE7	5202	Apple Inc		05/03/2018	3,000,000.00	3,129,882.06	2,951,861.40	2.400	2.819	1,716	803	01/13/2023
037833BS8	5213	Apple Inc		05/18/2018	2,000,000.00	2,007,763.22	1,996,280.92	2.250	2.875	1,012	114	02/23/2021
478160CD4	5265	Johnson & Johnson		08/30/2018	3,000,000.00	3,073,392.63	2,975,751.16	2.250	2.889	1,281	487	03/03/2022
478160BT0	5350	Johnson & Johnson		03/05/2019	2,000,000.00	2,075,356.58	1,971,547.63	2.050	2.697	1,457	850	03/01/2023
594918BH6	5203	Microsoft Corp		05/03/2018	2,000,000.00	2,084,814.56	1,985,444.12	2.650	3.041	1,645	732	11/03/2022
742651DR0	5367	Private Export Funding Corp		04/08/2019	3,003,000.00	3,110,585.18	2,981,875.69	2.050	2.412	1,317	744	11/15/2022

Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Investments
October 31, 2020

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity	Maturity Date
Subtotal and Average			14,859,574.09		15,003,000.00	15,481,794.23	14,862,760.92		2.772	1,410	632	
Money Market Account												
23380W523	4102	Daily Income US Gov MMF			50,040,427.27	50,040,427.27	50,040,427.27	0.001	0.001	1	1	
Subtotal and Average			43,254,711.01		50,040,427.27	50,040,427.27	50,040,427.27		0.001	1	1	
TimeCD_Deposit Account												
SYS5317	5317	Excite CU FKA Alliance CU			240,513.08	240,513.08	240,513.08	2.470	2.470	1	1	
SYS5311	5311	Bank of San Francisco			241,429.37	241,429.37	241,429.37	2.250	2.250	1	1	
SYS5481	5481	Bank of San Francisco		10/29/2020	14,000,516.47	14,000,516.47	14,000,516.47	0.449	0.449	1	1	
SYS5309	5309	Community Bank of the Bay			250,000.00	250,000.00	250,000.00	2.701	2.701	1	1	
SYS5371	5371	Community Bank of the Bay			5,120,954.81	5,120,954.81	5,120,954.81	0.500	0.500	1	1	
SYS4912	4912	Deposit Account			42,464,506.38	42,464,506.38	42,464,506.38	0.050	0.050	1	1	
SYS5395	5395	Meriwest Credit Union			247,622.70	247,622.70	247,622.70	0.500	0.500	1	1	
SYS5398	5398	Meriwest Credit Union			5,152,093.49	5,152,093.49	5,152,093.49	2.500	2.500	1	1	
SYS5397	5397	Provident Credit Union			247,972.64	247,972.64	247,972.64	2.579	2.579	1	1	
SYS5310	5310	Technology Credit Union			253,327.29	253,327.29	253,327.29	0.776	0.776	1	1	
SYS5369	5369	Technology Credit Union			15,351,667.52	15,351,667.52	15,351,667.52	0.740	0.740	1	1	
Subtotal and Average			111,130,988.93		83,570,603.75	83,570,603.75	83,570,603.75		0.455	1	1	
Supranational												
4581X0DB1	5208	Inter-American Dev't Bank		05/15/2018	2,000,000.00	2,022,000.00	1,998,571.84	2.625	2.785	1,070	169	04/19/2021
4581X0CD8	5187	INTERAMERI DEV		01/30/2018	3,000,000.00	3,001,167.75	2,999,867.87	2.125	2.330	1,014	8	11/09/2020
459058FH1	5209	INTL BK RECON & DEVELOP		05/15/2018	2,000,000.00	2,012,160.00	1,984,651.04	1.376	2.805	1,105	204	05/24/2021
459058GL1	5290	INTL BK RECON & DEVELOP		12/03/2018	3,000,000.00	3,233,340.00	3,003,583.18	3.000	2.955	1,759	1,060	09/27/2023
Subtotal and Average			9,985,309.96		10,000,000.00	10,268,667.75	9,986,673.93		2.704	1,267	396	
Municipal Bonds												
010878AN6	5198	County of Alameda		03/29/2018	2,305,000.00	2,444,544.70	2,349,085.12	4.000	2.832	1,586	638	08/01/2022
127109QA7	5402	Cabrillo Comnt		10/08/2019	1,230,000.00	1,282,201.20	1,230,000.00	2.034	2.034	1,759	1,369	08/01/2024
13066YTY5	5054	CA Department Water Resources		09/28/2016	2,226,427.09	2,242,457.37	2,226,427.09	1.713	1.713	1,676	181	05/01/2021
13066YTZ2	5263	CA Department Water Resources		08/13/2018	2,000,000.00	2,051,140.00	1,974,727.35	2.000	2.894	1,357	546	05/01/2022
544351MN6	5246	City of Los Angeles CA		07/12/2018	2,500,000.00	2,613,625.00	2,500,000.00	2.900	2.899	1,512	669	09/01/2022
544351MN6	5247	City of Los Angeles CA		07/12/2018	2,500,000.00	2,613,625.00	2,500,000.00	2.900	2.899	1,512	669	09/01/2022
702274CN9	5285	City of Pasadena		12/06/2018	1,055,000.00	1,115,472.60	1,055,000.00	3.338	3.338	1,456	760	12/01/2022
702274CM1	5286	City of Pasadena		12/06/2018	500,000.00	514,810.00	500,000.00	3.235	3.235	1,091	395	12/01/2021
368079HR3	5362	Gavilan Joint Community CLG-A1		04/18/2019	2,000,000.00	2,030,200.00	2,000,000.00	2.450	2.449	836	273	08/01/2021
368079HS1	5363	Gavilan Joint Community CLG-A1		04/18/2019	500,000.00	517,880.00	500,000.00	2.520	2.519	1,201	638	08/01/2022
368079HT9	5364	Gavilan Joint Community CLG-A1		04/18/2019	400,000.00	422,520.00	400,000.00	2.620	2.619	1,566	1,003	08/01/2023

Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Investments
October 31, 2020

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity	Maturity Date
Municipal Bonds												
368079KB4	5471	Gavilan Joint Community CLG-A1		10/15/2020	750,000.00	749,467.50	750,000.00	0.524	0.524	1,020	1,003	08/01/2023
368079KC2	5472	Gavilan Joint Community CLG-A1		10/15/2020	600,000.00	598,890.00	600,000.00	0.739	0.739	1,386	1,369	08/01/2024
376087FY3	5414	Gilroy USD		10/31/2019	600,000.00	616,968.00	600,000.00	1.793	1.793	1,370	1,003	08/01/2023
376087FZ0	5415	Gilroy USD		10/31/2019	550,000.00	568,040.00	550,000.00	1.833	1.833	1,736	1,369	08/01/2024
54465AGP1	5368	LA County Redev Agency		04/22/2019	1,750,000.00	1,819,352.50	1,727,553.82	2.000	2.480	1,593	1,034	09/01/2023
544290JB6	5407	Los Altos School District		10/17/2019	1,750,000.00	1,790,547.50	1,750,000.00	1.896	1.896	1,019	638	08/01/2022
544290JC4	5408	Los Altos School District		10/17/2019	1,500,000.00	1,500,480.00	1,500,000.00	2.143	2.143	1,384	1,003	08/01/2023
530319SK9	5468	Liberty Union HSD		10/07/2020	800,000.00	798,248.00	800,000.00	0.650	0.643	1,394	1,369	08/01/2024
530319SL7	5469	Liberty Union HSD		10/07/2020	825,000.00	821,642.25	825,000.00	0.760	0.760	1,759	1,734	08/01/2025
542411NJ8	5413	Long Beach Community College		10/23/2019	2,500,000.00	2,584,875.00	2,500,000.00	1.803	1.803	1,744	1,369	08/01/2024
56781RJG3	5329	Marin CCD GO-B1		02/07/2019	600,000.00	637,422.00	600,000.00	2.895	2.895	1,636	1,003	08/01/2023
56781RJF5	5330	Marin CCD GO-B1		02/07/2019	500,000.00	519,975.00	500,000.00	2.813	2.813	1,271	638	08/01/2022
56781RGP6	5349	Marin CCD GO-B1		03/04/2019	2,080,000.00	2,154,193.60	2,078,803.87	2.566	2.600	1,246	638	08/01/2022
677765GV5	5401	Ohlone Community College		09/26/2019	2,000,000.00	2,082,240.00	2,000,000.00	1.814	1.814	1,771	1,369	08/01/2024
677765GW3	5480	Ohlone Community College		10/22/2020	2,865,000.00	3,025,841.10	3,048,047.34	1.975	0.700	1,744	1,734	08/01/2025
799306QJ7	5417	San Rafael CA Elem Sch Dist		11/13/2019	1,000,000.00	1,030,230.00	1,000,000.00	1.865	1.865	1,357	1,003	08/01/2023
796815ZG6	5393	San Bernardino County		07/02/2019	5,000,000.00	5,136,200.00	5,000,000.00	2.050	2.050	1,187	699	10/01/2022
801577NR4	5388	SC County Financing Auth		06/27/2019	2,000,000.00	2,014,540.00	2,001,030.12	2.050	1.944	674	181	05/01/2021
7976465A0	5343	San Francisco City & County CA		02/26/2019	455,000.00	467,203.10	455,000.00	2.623	2.622	1,205	591	06/15/2022
797669XU7	5294	SF Bay Area Rapid Transit Dist		12/10/2018	1,385,000.00	1,402,575.65	1,380,322.58	2.387	2.916	934	242	07/01/2021
79765R3U1	5164	San Fran CY&Cnty CA PUC WTR		12/28/2017	1,000,000.00	1,043,500.00	1,000,000.00	2.619	2.619	1,769	730	11/01/2022
79765R3S6	5167	San Fran CY&Cnty CA PUC WTR		12/28/2017	100,000.00	100,000.00	100,000.00	2.298	2.292	1,039	0	11/01/2020
79765R3U1	5331	San Fran CY&Cnty CA PUC WTR		01/29/2019	875,000.00	913,062.50	870,573.22	2.619	2.887	1,372	730	11/01/2022
798170AE6	5189	San Jose Redevelopment Ag		02/26/2018	3,000,000.00	3,117,810.00	2,990,176.74	2.630	2.830	1,617	638	08/01/2022
798170AE6	5190	San Jose Redevelopment Ag		02/28/2018	2,000,000.00	2,078,540.00	1,995,080.23	2.630	2.780	1,615	638	08/01/2022
798189PD2	5220	San Jose Evergreen		06/05/2018	230,000.00	238,930.90	233,534.03	5.000	3.051	1,184	304	09/01/2021
798189PE0	5221	San Jose Evergreen		06/05/2018	130,000.00	140,974.60	133,932.97	5.000	3.223	1,549	669	09/01/2022
802498UG8	5411	Santa Monica-Malibu USD		11/06/2019	650,000.00	671,404.50	650,000.00	1.669	1.669	1,364	1,003	08/01/2023
802498UH6	5412	Santa Monica-Malibu USD		11/06/2019	500,000.00	520,510.00	500,000.00	1.719	1.719	1,730	1,369	08/01/2024
835569GR9	5416	Sonoma County CA Jnr Clg Dist		11/12/2019	1,000,000.00	1,041,170.00	1,000,000.00	2.061	2.061	1,724	1,369	08/01/2024
799408Z85	5475	SAN RAMON VALLEY UNIFIED		10/20/2020	1,700,000.00	1,693,081.00	1,700,000.00	0.740	0.740	1,746	1,734	08/01/2025
91412HEW9	5352	University of California		03/19/2019	250,000.00	258,565.00	250,000.00	2.608	2.607	1,153	560	05/15/2022
91412HEX7	5353	University of California		03/19/2019	600,000.00	632,094.00	600,000.00	2.657	2.657	1,518	925	05/15/2023
91412GQF5	5365	University of California		05/15/2019	500,000.00	537,550.00	505,032.30	2.900	2.595	1,827	1,291	05/15/2024
91412GWX9	5366	University of California		04/09/2019	1,000,000.00	1,012,030.00	1,000,916.11	2.526	2.350	767	195	05/15/2021
91412GQE8	5370	University of California		05/01/2019	1,565,000.00	1,652,358.30	1,574,001.55	2.750	2.510	1,475	925	05/15/2023
91412GQD0	5399	University of California		08/21/2019	500,000.00	516,840.00	506,660.39	2.570	1.680	998	560	05/15/2022
91412GUZ6	5400	University of California		09/04/2019	265,000.00	277,036.30	271,498.35	3.338	1.700	984	560	05/15/2022

**Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Investments
October 31, 2020**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity	Maturity Date
Municipal Bonds												
923040GU7	5409	Ventura Cnty Comm College Dist		10/31/2019	1,000,000.00	1,035,710.00	1,000,000.00	1.800	1.800	1,736	1,369	08/01/2024
923040GT0	5410	Ventura Cnty Comm College Dist		10/31/2019	1,250,000.00	1,287,337.50	1,250,000.00	1.750	1.750	1,370	1,003	08/01/2023
923078CS6	5447	Ventura Cnty CA Public Fin		06/11/2020	500,000.00	502,890.00	500,000.00	0.848	0.890	873	730	11/01/2022
923078CQ0	5448	Ventura Cnty CA Public Fin		06/11/2020	500,000.00	500,000.00	500,000.00	0.598	0.598	143	0	11/01/2020
93974D5Q7	5279	Washington State GO Bond		10/01/2018	2,000,000.00	2,142,280.00	1,994,640.34	3.030	3.136	1,765	1,003	08/01/2023
Subtotal and Average			63,996,371.97		67,841,427.09	70,081,081.67	68,027,043.52		2.181	1,423	854	
Total and Average			653,285,111.58		650,459,827.99	662,541,198.13	652,434,123.09		1.377	920	570	

**Santa Clara Valley Water Dist.
Portfolio Management
Portfolio Details - Cash
October 31, 2020**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 365	Term	Days to Maturity
Average Balance			0.00							0	0
Total Cash and Investments			653,285,111.58		650,459,827.99	662,541,198.13	652,434,123.09		1.377	920	570



Santa Clara Valley Water Dist.
Transaction Activity Report
October 1, 2020 - October 31, 2020
Sorted by Security Type - Transaction Date
All Funds

SANTA CLARA VALLEY WATER
 5750 Almaden Expressway
 San Jose, San Jose, Ca 951
 (408)265-2607

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	MaturityDate	RedemptionType	New Principal	Principal Paydowns	Interest	Total Cash
5299	82	3135G0T45	FEDERAL NATL	Interest	10/05/2020	04/05/2022				28,125.00	28,125.00
5391	82	3135G0T45	FEDERAL NATL	Interest	10/05/2020	04/05/2022				46,875.00	46,875.00
5276	82	3135G0U27	FEDERAL NATL	Interest	10/13/2020	04/13/2021				62,500.00	62,500.00
5476	82	3134GWUY0	FHLMC 0.4% MAT	Purchase	10/19/2020	12/30/2024		6,699,414.02			-6,699,414.02
5479	82	3137EAEX3	FEDERAL HOME	Purchase	10/21/2020	09/23/2025		4,980,689.17			-4,980,689.17
5249	82	3133EJTB5	FEDERAL FARM CR	Interest	10/27/2020	04/27/2022				63,000.00	63,000.00
5422	82	3134GBHM7	FEDERAL HOME	Interest	10/27/2020	04/27/2022				26,250.00	26,250.00
5477	82	3134GW3W4	FHLMC 0.41% MAT	Purchase	10/28/2020	10/28/2024		5,000,000.00			-5,000,000.00
5478	82	3134GW5Q5	FEDERAL HOME	Purchase	10/29/2020	01/29/2025		5,000,000.00			-5,000,000.00
Totals for Federal Agency Issues - Coupon								21,680,103.19		226,750.00	-21,453,353.19
5260	82	33715LBT6	1STTCH 3.25% MAT	Interest	10/16/2020	07/18/2022				641.10	641.10
5445	82	79772FAF3	SF FCU 1.1% MAT	Interest	10/27/2020	03/27/2025				216.99	216.99
Totals for Negotiable CD's - Interest Bearing										858.09	858.09
88-0237LAIF	82	SYS88-0237LAIF	LAIF 4.958%	Redemption	10/21/2020				100,000.00		100,000.00
Totals for LAIF								100,000.00			100,000.00
5075	82	594918AH7	MSFT 3% MAT	Redemption	10/01/2020	10/01/2020	Maturity		3,000,000.00		3,000,000.00
5075	82	594918AH7	MSFT 3% MAT	Interest	10/01/2020	10/01/2020				45,000.00	45,000.00
Totals for Medium Term Notes								3,000,000.00		45,000.00	3,045,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/01/2020			51,250.00			-51,250.00
4102	82	23380W523	Money Market Fund	Purchase	10/01/2020			45,000.00			-45,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/01/2020			3,000,000.00			-3,000,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/01/2020			6,000,000.00			-6,000,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/01/2020			388.74			-388.74
4102	82	23380W523	Money Market Fund	Interest	10/01/2020					388.74	388.74
4102	82	23380W523	Money Market Fund	Redemption	10/02/2020				730,000.00		730,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/05/2020			75,000.00			-75,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/06/2020				3,050,000.00		3,050,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/07/2020				825,000.00		825,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/07/2020				800,000.00		800,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/07/2020				600,000.00		600,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/08/2020				5,300,000.00		5,300,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/09/2020			1,700,000.00			-1,700,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/13/2020			62,500.00			-62,500.00
4102	82	23380W523	Money Market Fund	Redemption	10/13/2020				350,000.00		350,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/14/2020				1,520,000.00		1,520,000.00

Santa Clara Valley Water Dist.
Transaction Activity Report
Sorted by Security Type - Transaction Date

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	MaturityDate	RedemptionType	New Principal	Principal Paydowns	Interest	Total Cash
4102	82	23380W523	Money Market Fund	Redemption	10/15/2020				750,000.00		750,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/15/2020				600,000.00		600,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/15/2020				1,400,000.00		1,400,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/16/2020			641.10			-641.10
4102	82	23380W523	Money Market Fund	Purchase	10/16/2020			640,000.00			-640,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/19/2020			26,250.00			-26,250.00
4102	82	23380W523	Money Market Fund	Redemption	10/19/2020				6,698,000.00		6,698,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/19/2020				1,414.02		1,414.02
4102	82	23380W523	Money Market Fund	Redemption	10/20/2020				5,620,000.00		5,620,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/20/2020				1,700,000.00		1,700,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/21/2020			35,000,000.00			-35,000,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/21/2020				1,354.17		1,354.17
4102	82	23380W523	Money Market Fund	Redemption	10/21/2020				4,979,335.00		4,979,335.00
4102	82	23380W523	Money Market Fund	Purchase	10/22/2020			2,000,000.00			-2,000,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/22/2020				3,036,212.40		3,036,212.40
4102	82	23380W523	Money Market Fund	Redemption	10/22/2020				12,731.34		12,731.34
4102	82	23380W523	Money Market Fund	Purchase	10/27/2020			216.99			-216.99
4102	82	23380W523	Money Market Fund	Purchase	10/27/2020			63,000.00			-63,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/27/2020			26,250.00			-26,250.00
4102	82	23380W523	Money Market Fund	Purchase	10/28/2020			4,500,000.00			-4,500,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/28/2020				5,000,000.00		5,000,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/29/2020				5,000,000.00		5,000,000.00
4102	82	23380W523	Money Market Fund	Redemption	10/29/2020				2,000,000.00		2,000,000.00
4102	82	23380W523	Money Market Fund	Purchase	10/30/2020			7,000,000.00			-7,000,000.00
Totals for Money Market Account								60,190,496.83	49,974,046.93	388.74	-10,216,061.16
5208	82	4581X0DB1	IABD 2.625% MAT	Interest	10/19/2020	04/19/2021				26,250.00	26,250.00
Totals for Supranational										26,250.00	26,250.00
5393	82	796815ZG6	San Bernardino Cnty	Interest	10/01/2020	10/01/2022				51,250.00	51,250.00
5468	82	530319SK9	LIBHSD 0.65% MAT	Purchase	10/07/2020	08/01/2024		800,000.00			-800,000.00
5469	82	530319SL7	LIBERTY CALIF UN	Purchase	10/07/2020	08/01/2025		825,000.00			-825,000.00
5471	82	368079KB4	GAVILAN CALIF JT	Purchase	10/15/2020	08/01/2023		750,000.00			-750,000.00
5472	82	368079KC2	GAVILAN CALIF JT	Purchase	10/15/2020	08/01/2024		600,000.00			-600,000.00
5475	82	799408Z85	SAN RAMON	Purchase	10/20/2020	08/01/2025		1,700,000.00			-1,700,000.00
5480	82	677765GW3	OHLONE CALIF	Purchase	10/22/2020	08/01/2025		3,048,943.74			-3,048,943.74
Totals for Municipal Bonds								7,723,943.74		51,250.00	-7,672,693.74
Grand Total								89,594,543.76	53,074,046.93	350,496.83	-36,170,000.00



Santa Clara Valley Water Dist.
Purchases Report
Sorted by Fund - Fund
October 1, 2020 - October 31, 2020

SANTA CLARA VALLEY WATER
 5750 Almaden Expressway
 San Jose, San Jose, Ca 951
 (408)265-2607

CUSIP	Investment #	Fund	Sec. Type	Issuer	Original Par Value	Purchase Date	Payment	Principal Purchase	Accrued at Purchase	Rate at Purchas	Maturity Date	YTM	Ending Book Value
Treasury Management Fund													
530319SK9	5468	82	MUN	LIBHSD	800,000.00	10/07/2020	02/01 - 08/01	800,000.00		0.650	08/01/2024	0.643	800,000.00
530319SL7	5469	82	MUN	LIBHSD	825,000.00	10/07/2020	02/01 - 08/01	825,000.00		0.760	08/01/2025	0.760	825,000.00
368079KB4	5471	82	MUN	GAVILA	750,000.00	10/15/2020	02/01 - 08/01	750,000.00		0.524	08/01/2023	0.524	750,000.00
368079KC2	5472	82	MUN	GAVILA	600,000.00	10/15/2020	02/01 - 08/01	600,000.00		0.739	08/01/2024	0.739	600,000.00
3134GWUY0	5476	82	FAC	FHLMC	6,698,000.00	10/19/2020	03/30 - 09/30	6,698,000.00	1,414.02	0.400	12/30/2024	0.400	6,699,414.02
799408Z85	5475	82	MUN	SRVSCD	1,700,000.00	10/20/2020	02/01 - 08/01	1,700,000.00		0.740	08/01/2025	0.740	1,700,000.00
3137EAEX3	5479	82	FAC	FMAC-C	5,000,000.00	10/21/2020	03/23 - 09/23	4,979,335.00	1,354.17	0.375	09/23/2025	0.460	4,980,805.79
677765GW3	5480	82	MUN	OHLONE	2,865,000.00	10/22/2020	02/01 - 08/01	3,036,212.40	12,731.34	1.975	08/01/2025	0.700	3,048,047.34
3134GW3W4	5477	82	FAC	FHLMC	5,000,000.00	10/28/2020	04/28 - 10/28	5,000,000.00		0.410	10/28/2024	0.410	5,000,000.00
SYS5481	5481	82	PA3	BANKSF	14,000,000.00	10/29/2020	10/28 - Monthly	14,000,000.00		0.450		0.450	14,000,516.47
3134GW5Q5	5478	82	FAC	FHLMC	5,000,000.00	10/29/2020	04/29 - 10/29	5,000,000.00		0.450	01/29/2025	0.450	5,000,000.00
				Subtotal	43,238,000.00			43,388,547.40	15,499.53				43,403,783.62
			Total Purchases		43,238,000.00			43,388,547.40	15,499.53				43,403,783.62



MEMORANDUM

FC 14 (08-21-19)

TO: Board of Directors

FROM: Rick L. Callender, Esq.
Chief Executive Officer

SUBJECT: Fiscal Year 2020-2021 (FY 21) Quarter 1
(Q1) Quarterly Ends Policy Outcome
Measure Status Report

DATE: November 20, 2020

Enclosed is the FY 21 Q1 performance report, which, per Board policy, is monitored by reporting on the Board Appointed Officer's (BAO) Ends Policy Outcome Measures. This report is one of the ways that the Santa Clara Valley Water District Board of Directors (the Board) monitors the degree to which the Board policies are being met by the Chief Executive Officer.

This report summarizes the status of 108 Outcome Measures, grouped by each Board Ends Policy Objective. Overall, in Q1, 83% of the Ends Outcome Measures (90 of 108) are on target or were met; 13% have been or will be adjusted (14 of 108).

Specifically, four percent (4 of 108) are not on target for the reasons below:

OM-2.1.1.e At least 95% of countywide water supply wells meet primary drinking water standards.

Elevated nitrate in South County (primarily domestic wells) resulted in 87% of wells tested meeting primary standards (this increases to 100% if nitrate is not included). Action Plan: Continue to implement the free domestic well testing and nitrate treatment system rebate programs for domestic wells; continue efforts to work with regulatory and land use agencies to address this long-term issue.

OM-2.1.1.g At least 90% of wells in both the shallow and principal aquifer zones have stable or decreasing concentrations of nitrate, chloride, and total dissolved solids.

This measure is not met for chloride, with 82% of wells having water with stable or decreasing concentration trends, but the measure is met for TDS and nitrate. Action Plan: Implement Salt and Nutrient Management Plans to address salt loading, continue collaborating with regulatory and land use agencies.

OM-3.2.1.a 90% of Water Resource Protection Ordinance violations are resolved.

75 cases were opened 7/1/20 – 9/30/20. 14 (19%) were resolved within the quarter. 61 (81%) remained open at the end of Q1. Because of Covid-19 shelter in place, we have not been able to act on many new violations. We are also down by one team member.

OM-4.1.1.k Perform 52 annual clean-ups for the duration of the Safe, Clean Water program to reduce the amount of trash and pollutants entering the streams.

Due to the COVID-19 pandemic, no encampment sites were cleaned up during FY21 Q1. Local agencies, including Valley Water, have ceased encampment clean-ups until further notice is received that it is safe for all involved to proceed.

These performance areas are monitored quarterly by Watersheds and Water Utility Enterprise and their status is reported to the CEO.

As part of the Board's desire to govern transparently and effectively, the Board's role is to serve as the initiator of policy and delegator of authority to its BAO's.

The organization's performance toward accomplishing the Board's Ends policy goals and objectives is the responsibility of the Board Appointed Officers. Through the BAOs' interpretation of these policies, Outcome Measures are derived and implemented through the budget process and guide development of project and individual staff work plans. These plans specify operational activities to be implemented to achieve the Board's direction for the organization.

The BAOs analyze and monitor organizational performance through operational Quarterly Management Reviews and report results to the Board through Quarterly Performance Reports, as required by Board-BAO Linkage Policy 5.4:

All policies which instruct the BAOs will be monitored at a frequency and by a method chosen by the Board. The Board can monitor any policy at any time by any method, but will ordinarily depend on a routine schedule. Frequency = Quarterly.

The performance of the Outcome Measures are regularly monitored to ensure that they are achieved and meet the Board's expectations and are used to monitor the CEO's performance.

The alignment of the Board policies, BAO Interpretations, and the Budget Process supports a cycle of continual improvement. The outcomes from monitoring either reinforce existing Board policy or facilitate recommended policy changes for Board consideration.

Please contact me if you have comments or questions about this report.

DocuSigned by:



Rick L. Callender, Esq.
Chief Executive Officer
Valley Water

FY 2020-2021 Q1 Quarterly Outcome Measure Status

Board Ends Policy Objective			Overall Outcome Measure Status ¹
1	E-2.1.1	Aggressively protect groundwater from the threat of contamination and maintain and develop groundwater to optimize reliability and to minimize land subsidence and salt water intrusion.	On target: 75% (6 of 8) Adjusted: 0% Not on Target: 25% (2 of 8)
2	E-2.1.2	Protect, maintain, and develop local surface water.	On target: 88% (7 of 8) Adjusted: 13% (1 of 8) Not on Target: 0%
3	E-2.1.3	Protect, maintain, and develop imported water.	On target: 100% (1 of 1) Adjusted: 0% Not on Target: 0%
4	E-2.1.4	Protect, maintain, and develop recycled water.	On target: 100% (1 of 1) Adjusted: 0% Not on Target: 0%
5	E-2.1.5	Maximize water use efficiency, water conservation, and demand management opportunities.	On target: 100% (2 of 2) Adjusted: 0% Not on Target: 0%
6	E-2.1.6	Prepare for and respond effectively to water utility emergencies.	On target: 100% (2 of 2) Adjusted: 0% Not on Target: 0%
7	E-2.2.1	Raw water transmission and distribution assets are managed to ensure efficiency and reliability.	On target: 67% (2 of 3) Adjusted: 33% (1 of 3) Not on Target: 0%
8	E-2.3.1	Meet or exceed all applicable water quality regulatory standards.	On target: 67% (2 of 3) Adjusted: 33% (1 of 3) Not on Target: 0%

¹ There are 108 Outcome Measures monitored by the Board Appointed Officers. Each Ends Policy Objective is monitored by 1 or more Outcome Measures; the results of which are reported as a percentage in the Outcome Measure Status column.

Board Ends Policy Objective			Overall Outcome Measure Status ¹
9	E-2.3.2	Maintain effective relationships with the retailer and other stakeholders to ensure high quality, reliable drinking water.	On target: 100% (2 of 2) Adjusted: 0% Not on Target: 0%
10	E-3.1.1.	Protect parcels from flooding by applying an integrated watershed management approach that balances environmental quality and protection from flooding.	On target: 57% (8 of 14) Adjusted: 43% (6 of 14) Not on Target: 0%
11	E-3.1.2.	Preserve flood conveyance capacity and structural integrity of stream banks, while minimizing impacts on the environment and protecting habitat values.	On target: 89% (8 of 9) Adjusted: 11% (1 of 9) Not on Target: 0%
12	E-3.2.1.	Promote the preservation of flood plain functions.	On target: 75% (3 of 4) Adjusted: 0% Not on Target: 25% (1 of 4)
13	E-3.2.2.	Reduce flood risks through public engagement.	On target: 100% (4 of 4) Adjusted: 0% Not on Target: 0%
14	E-3.2.3.	Prepare and respond effectively to flood emergencies countywide to protect life and property.	On target: 100% (10 of 10) Adjusted: 0% Not on Target: 0%
15	E-4.1.1.	Preserve creeks, bay, and ecosystems through environmental stewardship.	On target: 92% (12 of 13) Adjusted: 0% Not on Target: 8% (1 of 13)
16	E-4.1.2.	Improve watersheds, streams, and natural resources.	On target: 75% (9 of 12) Adjusted: 25% (3 of 12) Not on Target: 0%

¹ There are 108 Outcome Measures monitored by the Board Appointed Officers. Each Ends Policy Objective is monitored by 1 or more Outcome Measures; the results of which are reported as a percentage in the Outcome Measure Status column.

		Board Ends Policy Objective	Overall Outcome Measure Status¹
17	E-4.1.3.	Promote the protection of creeks, bay, and other aquatic ecosystems from threats of pollution and degradation.	On target: 100% (4 of 4) Adjusted: 0% Not on Target: 0%
18	E-4.1.4.	Engage and educate the community in the protection of water quality and stream stewardship.	On target: 100% (3 of 3) Adjusted: 0% Not on Target: 0%
19	E-4.1.5.	Prepare and respond to emergencies that threaten local waterways.	On target: 100% (1 of 1) Adjusted: 0% Not on Target: 0%
20	E-4.1.6.	To the extent within practicable control of the District, adopt a strategy to restore the salmonid fishery on identified salmonid streams within fifteen years of strategy adoption by creating suitable accessible spawning and rearing habitats.	Outcome Measure for this Objective pending board review.
21	E-4.2.1.	Support healthy communities by providing access to additional trails, parks, and open space along creeks and in the watersheds.	On target: 100% (1 of 1) Adjusted: 0% Not on Target: 0%
22	E-4.2.2.	Support healthy communities by providing appropriate public access to District facilities.	On target: 100% (1 of 1) Adjusted: 0% Not on Target: 0%
23	E-4.3.1.	Reduce greenhouse gas emissions to achieve carbon neutrality by 2020.	On target: 50% (1 of 2) Adjusted: 50% (1 of 2) Not on Target: 0%

¹ There are 108 Outcome Measures monitored by the Board Appointed Officers. Each Ends Policy Objective is monitored by 1 or more Outcome Measures; the results of which are reported as a percentage in the Outcome Measure Status column.